

09/763,324

(FILE 'HOME' ENTERED AT 16:00:18 ON 19 MAY 2002)

FILE 'CAPLUS, BIOSIS, MEDLINE' ENTERED AT 16:00:48 ON 19 MAY 2002

L1 8 S CHITOSAN? AND HOMOGENE? AND (ENZYME? OR TYROSINASE OR PHENOL(  
L2 5 DUP REM L1 (3 DUPLICATES REMOVED)

=

09/763,324

FILE 'CAPLUS' ENTERED AT 16:00:48 ON 19 MAY 2002  
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FILE 'BIOSIS' ENTERED AT 16:00:48 ON 19 MAY 2002  
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FILE 'MEDLINE' ENTERED AT 16:00:48 ON 19 MAY 2002

=> s chitosan? and homogeneous? and (enzyme? or tyrosinase or phenol(3a)oxidase? or polyphenol(3a)oxidase?) and phenol?

OR IS NOT A RECOGNIZED COMMAND

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"HELP COMMANDS" at an arrow prompt (=>).

=> s chitosan? and homogeneous? and (enzyme? or tyrosinase or phenol(3a)oxidase? or polyphenol(3a)oxidase?) and phenol?

L1 8 CHITOSAN? AND HOMOGENEOUS? AND (ENZYME? OR TYROSINASE OR PHENOL(3A) OXIDASE? OR POLYPHENOL(3A) OXIDASE?) AND PHENOL?

=> dup rem l1

PROCESSING COMPLETED FOR L1

L2 5 DUP REM L1 (3 DUPLICATES REMOVED)

=> d l2 abs ibib kwic 1-5

L2 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2002 ACS

AB A variety of crosslinked polymers exist in nature and crosslinking confers important functional properties to biomaterials. In nature, crosslinking is generally achieved by the enzymic conversion of **phenols** into reactive intermediates (e.g. quinones or free radicals) that undergo subsequent non-enzymic reactions. Although enzymic crosslinking reactions offer interesting opportunities for conferring functionality, it has been difficult to control the poorly characterized non-enzymic reactions. We report the use of combinatorial approaches to learn how reactions of enzymically-generated quinones can be exploited for polysaccharide crosslinking and for the coupling of proteins to polysaccharides. Specifically we used **tyrosinase** to convert natural **phenols** into reactive quinones and examd. the crosslinking of the amino-polysaccharide **chitosan** and the coupling of **chitosan** to various proteins. In studies on polymer crosslinking we performed **homogeneous** reactions and examd. various **phenols** and reaction conditions. Screening was based on a rapid method to characterize the mech. properties of the enzymically crosslinked **chitosan** gels. For **chitosan**-protein coupling we used heterogeneous conditions and screened various **phenols** and reaction conditions to identify conditions that coupled protein to **chitosan** films while maintaining biol. activity.

ACCESSION NUMBER: 2001:197377 CAPLUS

TITLE: Combinatorial approach to biopolymer coupling and crosslinking

AUTHOR(S): Payne, Gregory F.; Chen, Tianhong; Vazquez-Duhalt, Rafael; Bentley, William E.; Smith, Paul J.

CORPORATE SOURCE: Center for Agricultural Biotechnology, University of Maryland, College Park, MD, 20742-4450, USA

SOURCE: Abstr. Pap. - Am. Chem. Soc. (2001), 221st, BIOT-070  
 CODEN: ACSRAL; ISSN: 0065-7727  
 PUBLISHER: American Chemical Society  
 DOCUMENT TYPE: Journal; Meeting Abstract  
 LANGUAGE: English

AB A variety of crosslinked polymers exist in nature and crosslinking confers important functional properties to biomaterials. In nature, crosslinking is generally achieved by the enzymic conversion of **phenols** into reactive intermediates (e.g. quinones or free radicals) that undergo subsequent non-enzymic reactions. Although enzymic crosslinking reactions offer interesting opportunities for conferring functionality, it has been difficult to control the poorly characterized non-enzymic reactions. We report the use of combinatorial approaches to learn how reactions of enzymically-generated quinones can be exploited for polysaccharide crosslinking and for the coupling of proteins to polysaccharides. Specifically we used **tyrosinase** to convert natural **phenols** into reactive quinones and examd. the crosslinking of the amino-polysaccharide **chitosan** and the coupling of **chitosan** to various proteins. In studies on polymer crosslinking we performed **homogeneous** reactions and examd. various **phenols** and reaction conditions. Screening was based on a rapid method to characterize the mech. properties of the enzymically crosslinked **chitosan** gels. For **chitosan**-protein coupling we used heterogeneous conditions and screened various **phenols** and reaction conditions to identify conditions that coupled protein to **chitosan** films while maintaining biol. activity.

L2 ANSWER 2 OF 5 CAPLUS COPYRIGHT 2002 ACS

AB A **homogeneous**-phase **enzyme**-catalyzed process for producing modified **chitosan** polymers or oligomers comprises reacting an **enzyme**, e.g., **tyrosinase**, with a **phenolic** substrate, e.g., chlorogenic acid, in the presence of a **chitosan** polymer or oligomer. The modified **chitosan** polymers or oligomers produced by the novel processes, in particular those having useful functional properties, e.g., base soly. and/or high viscosity are also claimed.

ACCESSION NUMBER: 2000:144914 CAPLUS  
 DOCUMENT NUMBER: 132:182264  
 TITLE: Modified **chitosan** polymers and enzymic methods for their production  
 INVENTOR(S): Kumar, Guneet; Payne, Gregory F.  
 PATENT ASSIGNEE(S): USA  
 SOURCE: PCT Int. Appl., 47 pp.  
 CODEN: PIXXD2  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
WO 2000011038	A1	20000302	WO 1999-US19106	19990820
W: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM				

RW: GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW, AT, BE, CH, CY, DE, DK,  
 ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG,  
 CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG

AU 9957814 A1 20000314 AU 1999-57814 19990820

EP 1137673 A1 20011004 EP 1999-945134 19990820

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,  
 IE, SI, LT, LV, FI, RO

PRIORITY APPLN. INFO.: US 1998-97709P P 19980821

WO 1999-US19106 W 19990820

REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS  
 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

- TI Modified **chitosan** polymers and enzymic methods for their  
 production
- AB A **homogeneous**-phase **enzyme**-catalyzed process for  
 producing modified **chitosan** polymers or oligomers comprises  
 reacting an **enzyme**, e.g., **tyrosinase**, with a  
**phenolic** substrate, e.g., chlorogenic acid, in the presence of a  
**chitosan** polymer or oligomer. The modified **chitosan**  
 polymers or oligomers produced by the novel processes, in particular those  
 having useful functional properties, e.g., base soly. and/or high  
 viscosity are also claimed.
- ST **chitosan** reaction oxidized **phenol enzyme**  
 oxidant; **tyrosinase** oxygen oxidn chlorogenic acid  
**chitosan** modification
- IT Oxidation  
 (enzymic, of **phenols**; enzymic methods for the manuf. of  
**chitosan** polymers modified with oxidized **phenols**)
- IT **Phenols**, preparation  
 RL: IMF (Industrial manufacture); PREP (Preparation)  
 (reaction products; enzymic methods for the manuf. of **chitosan**  
 polymers modified with oxidized **phenols**)
- IT 9002-10-2, **Tyrosinase**  
 RL: BPR (Biological process); BSU (Biological study, unclassified); BIOL  
 (Biological study); PROC (Process)  
 (enzymic methods for the manuf. of **chitosan** polymers modified  
 with oxidized **phenols**)
- IT 51-61-6DP, Dopamine, oxidized, reaction products with **chitosan**  
 106-44-5DP, p-Cresol, oxidized, reaction products with **chitosan**  
 120-80-9DP, Catechol, oxidized, reaction products with **chitosan**  
 327-97-9DP, Chlorogenic acid, oxidized, reaction products with  
**chitosan** 9012-76-4DP, **Chitosan**, reaction products with  
 oxidized **phenols**  
 RL: IMF (Industrial manufacture); PREP (Preparation)  
 (enzymic methods for the manuf. of **chitosan** polymers modified  
 with oxidized **phenols**)
- IT 7782-44-7, Oxygen, uses  
 RL: NUU (Other use, unclassified); USES (Uses)  
 (enzymic methods for the manuf. of **chitosan** polymers modified  
 with oxidized **phenols**)
- L2 ANSWER 3 OF 5 CAPLUS COPYRIGHT 2002 ACS DUPLICATE 1
- AB An enzymic method to graft hexyloxyphenol onto the biopolymer  
**chitosan** was studied. The method employs **tyrosinase** to  
 convert the **phenol** into a reactive o-quinone, which undergoes  
 subsequent nonenzymic reaction with **chitosan**. Reactions were  
 conducted under heterogeneous conditions using **chitosan** films  
 and also under **homogeneous** conditions using aq. methanolic  
 mixts. capable of dissolving both hexyloxyphenol and **chitosan**.

**Tyrosinase** was shown to catalyze the oxidn. of hexyloxyphenol in such aq. methanolic solns. Chem. evidence for covalent grafting onto **chitosan** was provided by three independent spectroscopic approaches. Specifically, enzymic modification resulted in (1) the appearance of broad absorbance in the 350-nm region of the UV/vis spectra for **chitosan** films; (2) changes in the NH bending and stretching regions of **chitosan**'s IR spectra; and (3) a base-sol. material with 1H-NMR signals characteristic of both **chitosan** and the alkyl groups of hexyloxyphenol. Hexyloxyphenol modification resulted in dramatic changes in **chitosan**'s functional properties. On the basis of contact angle measurements, heterogeneous modification of a **chitosan** film yielded a hydrophobic surface.

**Homogeneously** modified **chitosan** offered rheol. properties characteristic of assocg. water-sol. polymers.

ACCESSION NUMBER: 2000:816746 CAPLUS  
DOCUMENT NUMBER: 134:99633  
TITLE: Enzymatic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheological properties  
AUTHOR(S): Chen, Tianhong; Kumar, Guneet; Harris, Michael T.; Smith, Paul J.; Payne, Gregory F.  
CORPORATE SOURCE: Center for Agricultural Biotechnology, University of Maryland, College Park, MD, 20742, USA  
SOURCE: Biotechnology and Bioengineering (2000), 70(5), 564-573  
CODEN: BIBIAU; ISSN: 0006-3592  
PUBLISHER: John Wiley & Sons, Inc.  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
REFERENCE COUNT: 56 THERE ARE 56 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

TI Enzymatic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheological properties  
AB An enzymic method to graft hexyloxyphenol onto the biopolymer **chitosan** was studied. The method employs **tyrosinase** to convert the **phenol** into a reactive o-quinone, which undergoes subsequent nonenzymic reaction with **chitosan**. Reactions were conducted under heterogeneous conditions using **chitosan** films and also under **homogeneous** conditions using aq. methanolic mixts. capable of dissolving both hexyloxyphenol and **chitosan**. **Tyrosinase** was shown to catalyze the oxidn. of hexyloxyphenol in such aq. methanolic solns. Chem. evidence for covalent grafting onto **chitosan** was provided by three independent spectroscopic approaches. Specifically, enzymic modification resulted in (1) the appearance of broad absorbance in the 350-nm region of the UV/vis spectra for **chitosan** films; (2) changes in the NH bending and stretching regions of **chitosan**'s IR spectra; and (3) a base-sol. material with 1H-NMR signals characteristic of both **chitosan** and the alkyl groups of hexyloxyphenol. Hexyloxyphenol modification resulted in dramatic changes in **chitosan**'s functional properties. On the basis of contact angle measurements, heterogeneous modification of a **chitosan** film yielded a hydrophobic surface. **Homogeneously** modified **chitosan** offered rheol. properties characteristic of assocg. water-sol. polymers.  
ST **tyrosinase** grafting hexyloxyphenol **chitosan**  
IT Contact angle  
Viscosity  
(enzymic grafting of hexyloxyphenol onto **chitosan** to alter

- surface and rheol. properties)
- IT Oxidation  
(enzymic; enzymic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheol. properties)
- IT Polymers, preparation  
RL: BMF (Bioindustrial manufacture); BPN (Biosynthetic preparation); PRP (Properties); PUR (Purification or recovery); BIOL (Biological study); PREP (Preparation)  
(graft; enzymic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheol. properties)
- IT 9012-76-4DP, **Chitosan**, graft copolymer with hexyloxyphenol  
RL: BMF (Bioindustrial manufacture); BPN (Biosynthetic preparation); PRP (Properties); PUR (Purification or recovery); BIOL (Biological study); PREP (Preparation)  
(enzymic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheol. properties)
- IT. 9002-10-2, **Tyrosinase**  
RL: BPR (Biological process); BSU (Biological study, unclassified); CAT (Catalyst use); BIOL (Biological study); PROC (Process); USES (Uses)  
(enzymic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheol. properties)
- IT 622-62-8 9012-76-4, **Chitosan** 18979-55-0, 4-n-Hexyloxyphenol 26638-03-9, Methoxyphenol  
RL: BPR (Biological process); BSU (Biological study, unclassified); RCT (Reactant); BIOL (Biological study); PROC (Process); RACT (Reactant or reagent)  
(enzymic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheol. properties)
- IT 320401-59-0  
RL: BSU (Biological study, unclassified); MFM (Metabolic formation); BIOL (Biological study); FORM (Formation, nonpreparative)  
(enzymic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheol. properties)
- IT 69818-23-1 320401-57-8  
RL: BSU (Biological study, unclassified); MFM (Metabolic formation); RCT (Reactant); BIOL (Biological study); FORM (Formation, nonpreparative); RACT (Reactant or reagent)  
(enzymic grafting of hexyloxyphenol onto **chitosan** to alter surface and rheol. properties)
- L2 ANSWER 4 OF 5 CAPLUS COPYRIGHT 2002 ACS
- AB It was obsd. that addn. of **tyrosinase** and the simple **phenol**, p-cresol, to semi-dil. solns. of **chitosan** (I) resulted in the in situ formation of I gels. Specifically, **homogeneous** reactions were conducted with I solns. (0.32 w/v %) at pH near 6.0 and with cresol levels of 0.6 molar equiv (relative to I amino groups). Oscillatory shear measurements showed that the enzymic reaction resulted in large increases in the complex viscosity ( $\eta^*$ ) and storage and loss moduli ( $G'$  and  $G''$ ). These dynamic measurements indicated that the enzymic reaction resulted in the conversion of the nearly Newtonian semi-dil. I solns. into gels. The rheol. behavior of these enzymically-generated gels was compared to the behavior of acidic I solns. and to solns. contg. xanthan gum.
- ACCESSION NUMBER: 2000:450035 CAPLUS
- DOCUMENT NUMBER: 134:6082
- TITLE: In situ **chitosan** gelation using the **enzyme tyrosinase**
- AUTHOR(S): Kumar, G.; Bristow, J. F.; Smith, P. J.; Payne, G. F.

CORPORATE SOURCE: Center for Agricultural Biotechnology, Univ. Maryland,  
College Park, MD, 20742, USA  
SOURCE: Advances in Chitin Science (2000), 4 (EUCHIS'99),  
345-348  
CODEN: ACSCFF  
PUBLISHER: Universitaet Potsdam, Universitaetsbibliothek  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
REFERENCE COUNT: 18 THERE ARE 18 CITED REFERENCES AVAILABLE FOR THIS  
RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

- TI In situ **chitosan** gelation using the **enzyme**  
**tyrosinase**
- AB It was obsd. that addn. of **tyrosinase** and the simple  
**phenol**, p-cresol, to semi-dil. solns. of **chitosan** (I)  
resulted in the in situ formation of I gels. Specifically,  
**homogeneous** reactions were conducted with I solns. (0.32 w/v %) at  
pH near 6.0 and with cresol levels of 0.6 molar equiv (relative to I amino  
groups). Oscillatory shear measurements showed that the enzymic reaction  
resulted in large increases in the complex viscosity ( $\eta^*$ ) and storage  
and loss moduli ( $G'$  and  $G''$ ). These dynamic measurements indicated that  
the enzymic reaction resulted in the conversion of the nearly Newtonian  
semi-dil. I solns. into gels. The rheol. behavior of these  
enzymically-generated gels was compared to the behavior of acidic I solns.  
and to solns. contg. xanthan gum.
- ST **tyrosinase enzyme** cresol in situ gelation  
**chitosan** soln; mech loss viscoelasticity viscosity  
**chitosan** soln gelation cresol **enzyme**
- IT Gelation  
Mechanical loss  
Viscoelasticity  
Viscosity  
(in situ **chitosan** soln. gelation using **tyrosinase**  
**enzyme** and p-cresol)
- IT **Enzymes**, uses  
RL: NUU (Other use, unclassified); USES (Uses)  
(**tyrosinase**; in situ **chitosan** soln. gelation using  
**tyrosinase enzyme** and p-cresol)
- IT 106-44-5, p-Cresol, uses 9002-10-2, **Tyrosinase**  
RL: NUU (Other use, unclassified); USES (Uses)  
(in situ **chitosan** soln. gelation using **tyrosinase**  
**enzyme** and p-cresol)
- IT 9012-76-4, **Chitosan**  
RL: PEP (Physical, engineering or chemical process); PRP (Properties);  
PROC (Process)  
(in situ **chitosan** soln. gelation using **tyrosinase**  
**enzyme** and p-cresol)
- L2 ANSWER 5 OF 5 CAPLUS COPYRIGHT 2002 ACS DUPLICATE 2
- AB **Chitosan** (I) is a natural biopolymer whose rich amine  
functionality confers water soly. at low pH. At higher pH's (>6.5), the  
amines are deprotonated, and I is insol. To attain water soly. under  
basic conditions the hydrophilic compd. chlorogenic acid (II) was  
enzymically grafted onto I. Despite its name, II is a non-chlorinated  
**phenolic** natural product that has carboxylic acid and OH  
functionality. The **enzyme** used was **tyrosinase**, which  
converts a wide range of **phenolic** substrates into electrophilic  
o-quinones. The o-quinones are freely diffusible and can undergo reaction  
with the nucleophilic amino groups of I. Using slightly acidic conditions

(pH = 6.0), it was possible to modify I under **homogeneous** conditions. When the amt. of II used in the modification reaction was >30% relative to the I amino groups, the modified I was obsd. to be sol. under both acidic and basic conditions, and to have a pH window of insoly. at near neutral pH. Proton NMR spectra confirmed that I was chem. modified, although the degree of modification was low.

ACCESSION NUMBER: 1999:131727 CAPLUS  
DOCUMENT NUMBER: 130:239087  
TITLE: Enzymic grafting of a natural product onto **chitosan** to confer water solubility under basic conditions  
AUTHOR(S): Kumar, Guneet; Smith, Paul J.; Payne, Gregory F.  
CORPORATE SOURCE: Center for Agricultural Biotechnology, University of Maryland, College Park, MD, 20742, USA  
SOURCE: Biotechnology and Bioengineering (1999), 63(2), 154-165  
CODEN: BIBIAU; ISSN: 0006-3592  
PUBLISHER: John Wiley & Sons, Inc.  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
REFERENCE COUNT: 56

THERE ARE 56 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

- TI Enzymic grafting of a natural product onto **chitosan** to confer water solubility under basic conditions
- AB **Chitosan** (I) is a natural biopolymer whose rich amine functionality confers water soly. at low pH. At higher pH's (>6.5), the amines are deprotonated, and I is insol. To attain water soly. under basic conditions the hydrophilic compd. chlorogenic acid (II) was enzymically grafted onto I. Despite its name, II is a non-chlorinated **phenolic** natural product that has carboxylic acid and OH functionality. The **enzyme** used was **tyrosinase**, which converts a wide range of **phenolic** substrates into electrophilic o-quinones. The o-quinones are freely diffusible and can undergo reaction with the nucleophilic amino groups of I. Using slightly acidic conditions (pH = 6.0), it was possible to modify I under **homogeneous** conditions. When the amt. of II used in the modification reaction was >30% relative to the I amino groups, the modified I was obsd. to be sol. under both acidic and basic conditions, and to have a pH window of insoly. at near neutral pH. Proton NMR spectra confirmed that I was chem. modified, although the degree of modification was low.
- ST chlorogenic acid grafting **chitosan** soly **tyrosinase** **enzyme** catalyst
- IT Solubility  
(alk.; enzymically catalyzed grafting of natural products onto **chitosan** to confer water soly. under alk. conditions)
- IT **Enzymes**, uses  
RL: CAT (Catalyst use); USES (Uses)  
(enzymically catalyzed grafting of natural products onto **chitosan** to confer water soly. under alk. conditions)
- IT Polymerization  
Polymerization catalysts  
(graft; enzymically catalyzed grafting of natural products onto **chitosan** to confer water soly. under alk. conditions)
- IT 9002-10-2, **Tyrosinase**  
RL: CAT (Catalyst use); USES (Uses)  
(enzymically catalyzed grafting of natural products onto **chitosan** to confer water soly. under alk. conditions)
- IT 327-97-9, Chlorogenic acid 9012-76-4, **Chitosan**



09/763,324

RL: RCT (Reactant); RACT (Reactant or reagent)  
(enzymically catalyzed grafting of natural products onto  
**chitosan** to confer water soly. under alk. conditions)

L Number	Hits	Search Text	DB	Time stamp
1	12	chitosan\$2 same (polymer\$2 or copolymer\$2) same viscosity same (cps! or centipoise\$2 or poise\$2)	USPAT; US-PGPUB	2002/05/19 15:46